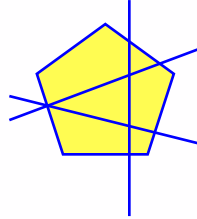


Inside-Out Polytopes

Matthias Beck, San Francisco State University

Thomas Zaslavsky, Binghamton University (SUNY)



math.sfsu.edu/beck/

arXiv: [math.CO/0309330](https://arxiv.org/abs/math.CO/0309330) & [math.CO/0309331](https://arxiv.org/abs/math.CO/0309331) & [math.CO/0506315](https://arxiv.org/abs/math.CO/0506315)

Chromatic Polynomials of Graphs

$\Gamma = (V, E)$ – graph (without loops)

k -coloring of Γ : mapping $x : V \rightarrow \{1, 2, \dots, k\}$

Chromatic Polynomials of Graphs

$\Gamma = (V, E)$ – graph (without loops)

Proper k -coloring of Γ : mapping $x : V \rightarrow \{1, 2, \dots, k\}$ such that $x_i \neq x_j$ if there is an edge $ij \in E$

Chromatic Polynomials of Graphs

$\Gamma = (V, E)$ – graph (without loops)

Proper k -coloring of Γ : mapping $x : V \rightarrow \{1, 2, \dots, k\}$ such that $x_i \neq x_j$ if there is an edge $ij \in E$

Theorem (Birkhoff 1912, Whitney 1932) $\chi_\Gamma(k) := \#$ (proper k -colorings of Γ) is a monic polynomial in k of degree $|V|$.

Chromatic Polynomials of Graphs

$\Gamma = (V, E)$ – graph (without loops)

Proper k -coloring of Γ : mapping $x : V \rightarrow \{1, 2, \dots, k\}$ such that $x_i \neq x_j$ if there is an edge $ij \in E$

Theorem (Birkhoff 1912, Whitney 1932) $\chi_\Gamma(k) := \#$ (proper k -colorings of Γ) is a monic polynomial in k of degree $|V|$.

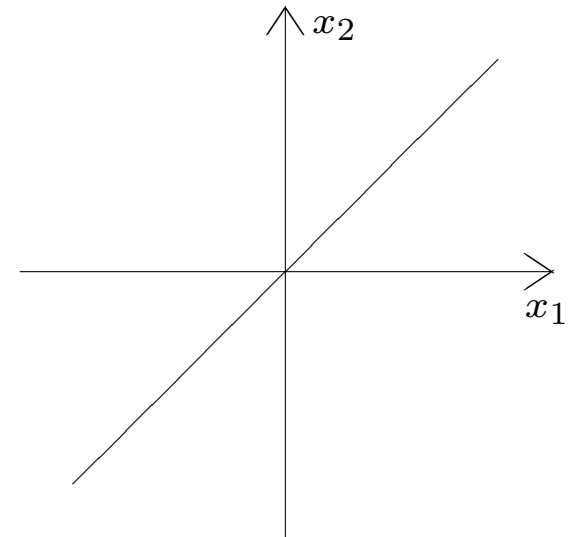
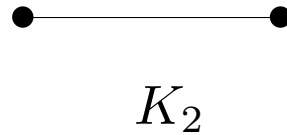
Theorem (Stanley 1973) $(-1)^{|V|} \chi_\Gamma(-k)$ equals the number of pairs (α, x) consisting of an acyclic orientation α of Γ and a compatible k -coloring. In particular, $(-1)^{|V|} \chi_\Gamma(-1)$ equals the number of acyclic orientations of Γ .

(An orientation α of Γ and a k -coloring x are **compatible** if $x_j \geq x_i$ whenever there is an edge oriented from i to j . An orientation is **acyclic** if it has no directed cycles.)

Graphical Hyperplane Arrangements

We associate with $\Gamma = (V, E)$ the hyperplane arrangement

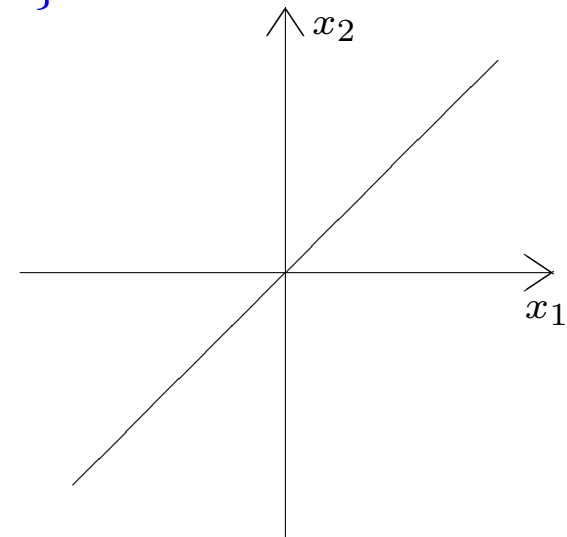
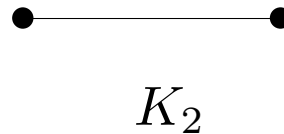
$$\mathcal{H}_\Gamma := \{x_i = x_j : ij \in E\}$$



Graphical Hyperplane Arrangements

We associate with $\Gamma = (V, E)$ the hyperplane arrangement

$$\mathcal{H}_\Gamma := \{x_i = x_j : ij \in E\}$$



Greene's observation

region of \mathcal{H}_Γ \longleftrightarrow acyclic orientation of Γ

$x_i < x_j$ \longleftrightarrow orient $ij \in E$ from i to j

Ehrhart Polynomials

$\mathcal{P} \subset \mathbb{R}^d$ – lattice polytope, i.e., the convex hull of finitely points in \mathbb{Z}^d

For $k \in \mathbb{Z}_{>0}$ let $\text{Ehr}_{\mathcal{P}}(k) := \# \left(\mathcal{P} \cap \frac{1}{k} \mathbb{Z}^d \right) = \# \left(k\mathcal{P} \cap \mathbb{Z}^d \right)$

Ehrhart Polynomials

$\mathcal{P} \subset \mathbb{R}^d$ – lattice polytope, i.e., the convex hull of finitely points in \mathbb{Z}^d

For $k \in \mathbb{Z}_{>0}$ let $\text{Ehr}_{\mathcal{P}}(k) := \# (\mathcal{P} \cap \frac{1}{k}\mathbb{Z}^d) = \# (k\mathcal{P} \cap \mathbb{Z}^d)$

Theorem

(Ehrhart 1962) $\text{Ehr}_{\mathcal{P}}(k)$ is a **polynomial** in k of degree $\dim \mathcal{P}$ with leading term $\text{vol } \mathcal{P}$ (normalized to $\text{aff } \mathcal{P} \cap \mathbb{Z}^d$) and constant term $\text{Ehr}_{\mathcal{P}}(0) = 1$.

(Macdonald 1971) $(-1)^{\dim \mathcal{P}} \text{Ehr}_{\mathcal{P}}(-k) = \text{Ehr}_{\mathcal{P}^\circ}(k)$, where \mathcal{P}° denotes the **interior** of \mathcal{P} .

Ehrhart Polynomials

$\mathcal{P} \subset \mathbb{R}^d$ – lattice polytope, i.e., the convex hull of finitely points in \mathbb{Z}^d

For $k \in \mathbb{Z}_{>0}$ let $\text{Ehr}_{\mathcal{P}}(k) := \# (\mathcal{P} \cap \frac{1}{k}\mathbb{Z}^d) = \# (k\mathcal{P} \cap \mathbb{Z}^d)$

Theorem

(Ehrhart 1962) $\text{Ehr}_{\mathcal{P}}(k)$ is a **polynomial** in k of degree $\dim \mathcal{P}$ with leading term $\text{vol } \mathcal{P}$ (normalized to $\text{aff } \mathcal{P} \cap \mathbb{Z}^d$) and constant term $\text{Ehr}_{\mathcal{P}}(0) = 1$.

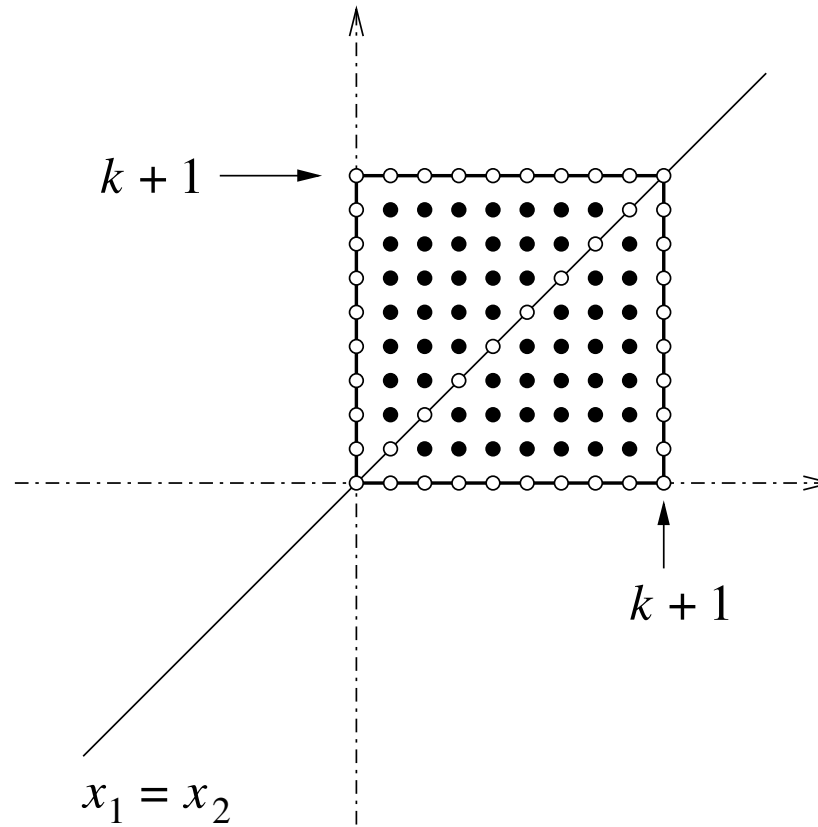
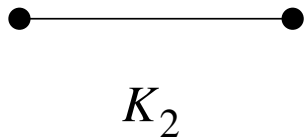
(Macdonald 1971) $(-1)^{\dim \mathcal{P}} \text{Ehr}_{\mathcal{P}}(-k) = \text{Ehr}_{\mathcal{P}^\circ}(k)$, where \mathcal{P}° denotes the **interior** of \mathcal{P} .

Idea

A k -coloring of Γ is an interior lattice point of $(k+1)\mathcal{P}$, where $\mathcal{P} = [0, 1]^V$.

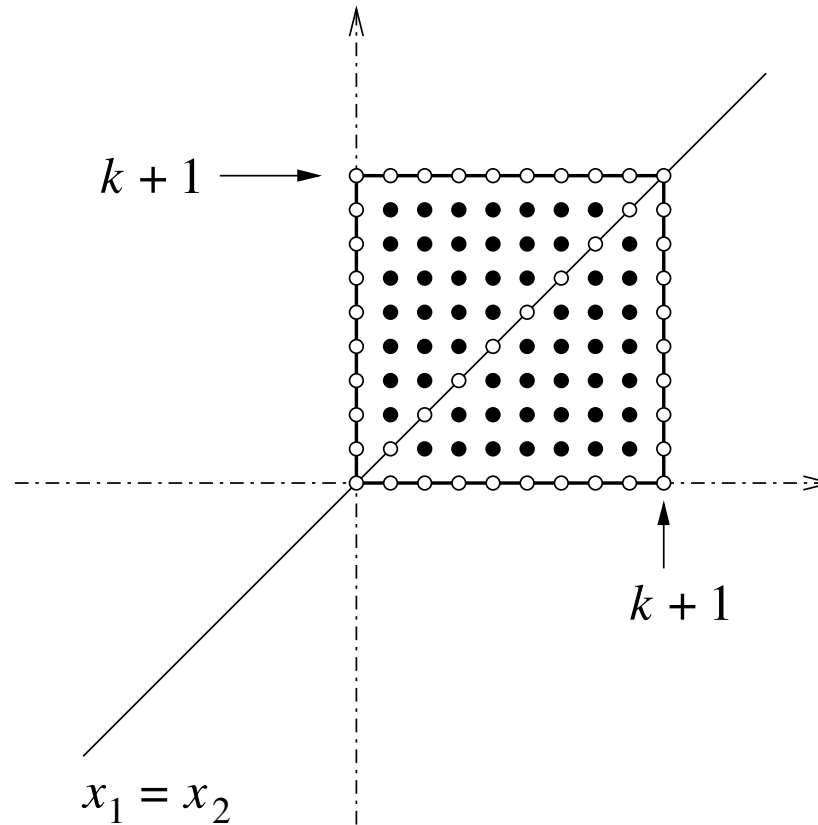
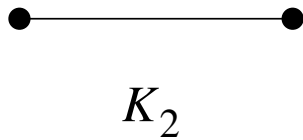
Graph Coloring a la Ehrhart

$$\chi_{K_2}(k) = k(k-1) \dots$$



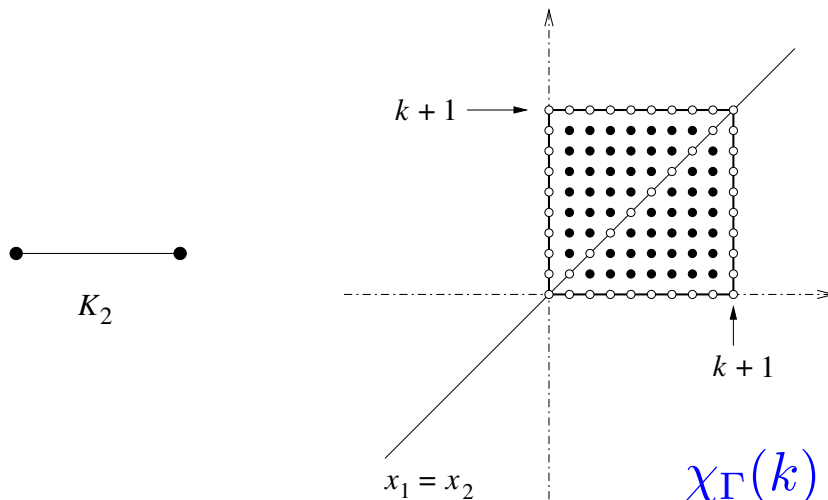
Graph Coloring a la Ehrhart

$$\chi_{K_2}(k) = k(k-1) \dots$$



$$\chi_{\Gamma}(k) = \# \left(\left((0, 1)^V \setminus \bigcup \mathcal{H}_{\Gamma} \right) \cap \frac{1}{k+1} \mathbb{Z}^V \right)$$

Stanley's Theorem a la Ehrhart

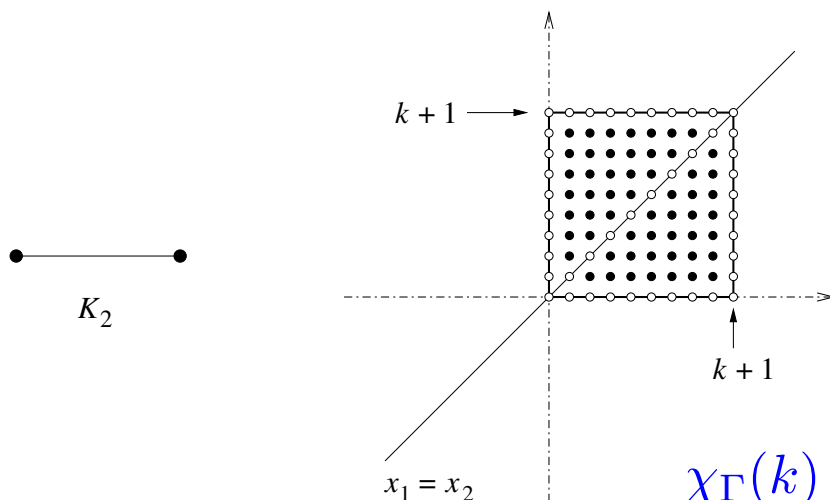


$$\chi_{\Gamma}(k) = \# \left(((0, 1)^V \setminus \bigcup \mathcal{H}_{\Gamma}) \cap \frac{1}{k+1} \mathbb{Z}^V \right)$$

Write $(0, 1)^V \setminus \bigcup \mathcal{H}_{\Gamma} = \bigcup_j \mathcal{P}_j^{\circ}$, then by Ehrhart-Macdonald reciprocity

$$(-1)^{|V|} \chi_{\Gamma}(-k) = \sum_j \text{Ehr}_{\mathcal{P}_j}(k-1)$$

Stanley's Theorem a la Ehrhart



$$\chi_{\Gamma}(k) = \# \left(((0, 1)^V \setminus \bigcup \mathcal{H}_{\Gamma}) \cap \frac{1}{k+1} \mathbb{Z}^V \right)$$

Write $(0, 1)^V \setminus \bigcup \mathcal{H}_{\Gamma} = \bigcup_j \mathcal{P}_j^{\circ}$, then by Ehrhart-Macdonald reciprocity

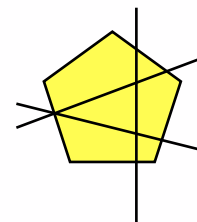
$$(-1)^{|V|} \chi_{\Gamma}(-k) = \sum_j \text{Ehr}_{\mathcal{P}_j}(k-1)$$

Greene's observation

region of $\mathcal{H}_{\Gamma} \longleftrightarrow$ acyclic orientation of Γ

Inside-Out Counting Functions

Inside-out polytope : $(\mathcal{P}, \mathcal{H})$



Multiplicity of $x \in \mathbb{R}^d$:

$$m_{\mathcal{P}, \mathcal{H}}(x) := \begin{cases} \# \text{ closed regions of } \mathcal{H} \text{ in } \mathcal{P} \text{ that contain } x & \text{if } x \in \mathcal{P}, \\ 0 & \text{if } x \notin \mathcal{P} \end{cases}$$

Closed Ehrhart quasipolynomial $E_{\mathcal{P}, \mathcal{H}}(k) := \sum_{x \in \frac{1}{k}\mathbb{Z}^d} m_{\mathcal{P}, \mathcal{H}}(x)$

Open Ehrhart quasipolynomial $E_{\mathcal{P}, \mathcal{H}}^{\circ}(k) := \# \left(\frac{1}{k}\mathbb{Z}^d \cap [\mathcal{P} \setminus \bigcup \mathcal{H}] \right)$

Inside-Out Philosophy

Theorem If $(\mathcal{P}, \mathcal{H})$ is a closed, full-dimensional, rational inside-out polytope, then $E_{\mathcal{P}, \mathcal{H}}(k)$ and $E_{\mathcal{P}^\circ, \mathcal{H}}(k)$ are quasipolynomials in k of degree $\dim \mathcal{P}$ with leading term $\text{vol } P$, and with constant term $E_{\mathcal{P}, \mathcal{H}}(0)$ equal to the number of regions of $(\mathcal{P}, \mathcal{H})$. Furthermore,

$$E_{\mathcal{P}^\circ, \mathcal{H}}(k) = (-1)^d E_{\mathcal{P}, \mathcal{H}}(-k).$$

Inside-Out Philosophy

Theorem If $(\mathcal{P}, \mathcal{H})$ is a closed, full-dimensional, rational inside-out polytope, then $E_{\mathcal{P}, \mathcal{H}}(k)$ and $E_{\mathcal{P}^\circ, \mathcal{H}}(k)$ are quasipolynomials in k of degree $\dim \mathcal{P}$ with leading term $\text{vol } \mathcal{P}$, and with constant term $E_{\mathcal{P}, \mathcal{H}}(0)$ equal to the number of regions of $(\mathcal{P}, \mathcal{H})$. Furthermore,

$$E_{\mathcal{P}^\circ, \mathcal{H}}(k) = (-1)^d E_{\mathcal{P}, \mathcal{H}}(-k).$$

Philosophy If you have an enumeration problem that can be encoded as $E_{\mathcal{P}^\circ, \mathcal{H}}(k) = \# \left(\frac{1}{k} \mathbb{Z}^d \cap [\mathcal{P}^\circ \setminus \bigcup \mathcal{H}] \right)$ for some inside-out polytope $(\mathcal{P}, \mathcal{H})$ and you have a combinatorial interpretation for the multiplicities $m_{\mathcal{P}, \mathcal{H}}(x)$, then you'll have a combinatorial reciprocity theorem for $E_{\mathcal{P}^\circ, \mathcal{H}}(k)$.

Inside-Out Philosophy

Theorem If $(\mathcal{P}, \mathcal{H})$ is a closed, full-dimensional, rational inside-out polytope, then $E_{\mathcal{P}, \mathcal{H}}(k)$ and $E_{\mathcal{P}^\circ, \mathcal{H}}(k)$ are quasipolynomials in k of degree $\dim \mathcal{P}$ with leading term $\text{vol } \mathcal{P}$, and with constant term $E_{\mathcal{P}, \mathcal{H}}(0)$ equal to the number of regions of $(\mathcal{P}, \mathcal{H})$. Furthermore,

$$E_{\mathcal{P}^\circ, \mathcal{H}}(k) = (-1)^d E_{\mathcal{P}, \mathcal{H}}(-k).$$

Theorem $(\mathcal{P}, \mathcal{H})$ is a closed, full-dimensional, rational inside-out polytope, then

$$E_{\mathcal{P}^\circ, \mathcal{H}}(k) = \sum_{u \in \mathcal{L}(\mathcal{H})} \mu(\mathbb{R}^d, u) \text{Ehr}_{\mathcal{P} \cap u}(k),$$

and if \mathcal{H} is transverse to \mathcal{P}

$$E_{\mathcal{P}, \mathcal{H}}(k) = \sum_{u \in \mathcal{L}(\mathcal{H})} |\mu(\mathbb{R}^d, u)| \text{Ehr}_{\mathcal{P} \cap u}(k).$$

(\mathcal{H} is **transverse to** \mathcal{P} if every flat $u \in \mathcal{L}(\mathcal{H})$ that intersects \mathcal{P} also intersects \mathcal{P}° , and \mathcal{P} does not lie in any of the hyperplanes of \mathcal{H} .)

Flow Polynomials

A **nowhere-zero k -flow** on a graph $\Gamma = (V, E)$ is a mapping

$$x : E \rightarrow \{-k + 1, -k + 2, \dots, -2, -1, 1, 2, \dots, k - 2, k - 1\}$$

such that for every node $v \in V$

$$\sum_{h(e)=v} x(e) = \sum_{t(e)=v} x(e)$$

$h(e) :=$ head of the edge e in a (fixed) orientation of Γ
 $t(e) :=$ tail

Flow Polynomials

A **nowhere-zero k -flow** on a graph $\Gamma = (V, E)$ is a mapping

$$x : E \rightarrow \{-k + 1, -k + 2, \dots, -2, -1, 1, 2, \dots, k - 2, k - 1\}$$

such that for every node $v \in V$

$$\sum_{h(e)=v} x(e) = \sum_{t(e)=v} x(e)$$

$h(e) :=$ head of the edge e in a (fixed) orientation of Γ
 $t(e) :=$ tail

Theorem (Kochol 2002)

$\varphi_{\Gamma}(k) := \#$ (nowhere-zero k -flows) is a polynomial in k .

Flow Polynomial Reciprocity

Let C denote the subspace of \mathbb{R}^E determined by the flow-conservation equations, $\mathcal{P} := [-1, 1]^E \cap C$, and \mathcal{H} the arrangement of all coordinate hyperplanes in \mathbb{R}^E . Then $\varphi_\Gamma(k) = E_{\mathcal{P}^\circ, \mathcal{H}}(k)$.

Flow Polynomial Reciprocity

Let C denote the subspace of \mathbb{R}^E determined by the flow-conservation equations, $\mathcal{P} := [-1, 1]^E \cap C$, and \mathcal{H} the arrangement of all coordinate hyperplanes in \mathbb{R}^E . Then $\varphi_\Gamma(k) = E_{\mathcal{P}^\circ, \mathcal{H}}(k)$.

Greene–Zaslavsky's Observation Every region of the hyperplane arrangement induced by \mathcal{H} in C corresponds to a **totally cyclic orientation**.

(An orientation of Γ is **totally cyclic** if every edge lies in a coherent circle, that is, where the edges are oriented in a consistent direction around the circle.)

Flow Polynomial Reciprocity

Let C denote the subspace of \mathbb{R}^E determined by the flow-conservation equations, $\mathcal{P} := [-1, 1]^E \cap C$, and \mathcal{H} the arrangement of all coordinate hyperplanes in \mathbb{R}^E . Then $\varphi_\Gamma(k) = E_{\mathcal{P}^\circ, \mathcal{H}}(k)$.

Greene–Zaslavsky's Observation Every region of the hyperplane arrangement induced by \mathcal{H} in C corresponds to a **totally cyclic orientation**.

(An orientation of Γ is **totally cyclic** if every edge lies in a coherent circle, that is, where the edges are oriented in a consistent direction around the circle. A totally cyclic orientation τ and a flow x are **compatible** if $x \geq 0$ when it is expressed in terms of τ .)

Theorem $(-1)^{|E|-|V|+c(\Gamma)}\varphi_\Gamma(-k)$ equals the number of pairs (τ, x) consisting of a totally cyclic orientation τ and a compatible $(k + 1)$ -flow x . In particular, the constant term $\varphi_\Gamma(0)$ equals the number of totally cyclic orientations of Γ .

Open Flow Problems

- ▶ Find a formula for, or a combinatorial interpretation of, the leading coefficient of φ_{Γ} .

Open Flow Problems

- ▶ Find a formula for, or a combinatorial interpretation of, the leading coefficient of φ_Γ .
- ▶ Consider **modular flow polynomials** $\overline{\varphi}_\Gamma$, where the flow values are from a finite Abelian group. Is there a combinatorial interpretation of $\overline{\varphi}_\Gamma(-k)$?

Open Flow Problems

- ▶ Find a formula for, or a combinatorial interpretation of, the leading coefficient of φ_Γ .
- ▶ Consider **modular flow polynomials** $\bar{\varphi}_\Gamma$, where the flow values are from a finite Abelian group. Is there a combinatorial interpretation of $\bar{\varphi}_\Gamma(-k)$?
- ▶ Prove (by hand) that every planar graph admits a 4-flow.

Open Flow Problems

- ▶ Find a formula for, or a combinatorial interpretation of, the leading coefficient of φ_{Γ} .
- ▶ Consider **modular flow polynomials** $\overline{\varphi}_{\Gamma}$, where the flow values are from a finite Abelian group. Is there a combinatorial interpretation of $\overline{\varphi}_{\Gamma}(-k)$?
- ▶ Prove (by hand) that every planar graph admits a 4-flow.
- ▶ Prove that every graph admits a 5-flow.

Inside-Out Applications

- ▶ Signed graph colorings

Inside-Out Applications

- ▶ Signed graph colorings
- ▶ Signed graph flows

Inside-Out Applications

- ▶ Signed graph colorings
- ▶ Signed graph flows
- ▶ Magic squares, cubes, stars, graphs, . . .

Inside-Out Applications

- ▶ Signed graph colorings
- ▶ Signed graph flows
- ▶ Magic squares, cubes, stars, graphs, . . .
- ▶ Antimagic

Inside-Out Applications

- ▶ Signed graph colorings
- ▶ Signed graph flows
- ▶ Magic squares, cubes, stars, graphs, . . .
- ▶ Antimagic
- ▶ Latin squares, orthogonal pairs of latin squares