

# $q$ -Chromatic Polynomials

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# Chromatic Polynomials and Symmetric Functions

$G = (V, E)$  — graph (without loops)

**Proper  $n$ -coloring** —  $\kappa : V \rightarrow [n] := \{1, 2, \dots, n\}$  such that  $\kappa(i) \neq \kappa(j)$  for any edge  $ij \in E$

**Chromatic polynomial** —  $\chi_G(n) := \#$  (proper  $n$ -colorings of  $G$ )

**Example**  $\chi_{P_4}(n) = n(n-1)^3$

**Chromatic symmetric function**

$$X_G(x_1, x_2, \dots) := \sum_{\text{proper colorings } \kappa} x_1^{\#\kappa^{-1}(1)} x_2^{\#\kappa^{-1}(2)} \dots$$

We recover  $\chi_G(n) = X_G(\underbrace{1, \dots, 1}_{n \text{ times}}, 0, 0, \dots)$

# $q$ -Chromatic Polynomials

**Definition**  $\chi_G^\lambda(q, n) := \sum_{\substack{\text{proper colorings} \\ \kappa: V \rightarrow [n]}} q^{\sum_{v \in V} \lambda_v \kappa(v)}$  where  $\lambda \in \mathbb{Z}_{>0}^V$  is fixed

We recover  $\chi_G(n) = \chi_G^1(1, n)$  and  $\chi_G^1(q, n) = X_G(q, q^2, \dots, q^n, 0, 0, \dots)$

## Example

$$\begin{aligned} \chi_{P_4}^1(q, n) &= \frac{1}{(1+q)(1+q+q^2)(1+q+q^2+q^3)} \times \\ &\left( 8q^{10}(1-q)^n(1-q)^{n-1}(1-q)^{n-2}(1-q)^{n-3} \right. \\ &+ (4q^9 + 6q^8 + 4q^7)(1-q)^{n+1}(1-q)^n(1-q)^{n-1}(1-q)^{n-2} \\ &\left. + 2q^6(1-q)^{n+2}(1-q)^{n+1}(1-q)^n(1-q)^{n-1} \right) \end{aligned}$$

# $q$ -Chromatic Polynomial Structure

$$\chi_G^\lambda(q, n) := \sum_{\substack{\text{proper colorings} \\ \kappa: V \rightarrow [n]}} q^{\sum_{v \in V} \lambda_v \kappa(v)}$$

**Theorem** There exists a (unique) polynomial  $\tilde{\chi}_G^\lambda(q, x) \in \mathbb{Z}(q)[x]$  such that

$$\chi_G^\lambda(q, n) = \tilde{\chi}_G^\lambda(q, [n]_q) \quad \text{where} \quad [n]_q := 1 + q + \cdots + q^{n-1}$$

**Example**  $\tilde{\chi}_{P_4}^1(q, x) = \frac{1}{(1+q)(1+q+q^2)(1+q+q^2+q^3)} \times$

$$\begin{aligned} & ((2q^8 + 4q^7 + 6q^6 + 4q^5 + 8q^4) x^4 \\ & - (6q^8 + 10q^7 + 18q^6 + 18q^5 + 20q^4) x^3 \\ & + (4q^8 + 10q^7 + 20q^6 + 22q^5 + 16q^4) x^2 \\ & - (4q^7 + 8q^6 + 8q^5 + 4q^4) x) \end{aligned}$$

# Why?

$$X_G(x_1, x_2, \dots) = \sum_{\text{proper colorings } \kappa} x_1^{\#\kappa^{-1}(1)} x_2^{\#\kappa^{-1}(2)} \dots$$

$$\chi_G^\lambda(q, n) = \sum_{\text{proper colorings } \kappa: V \rightarrow [n]} (q^{\lambda_1})^{\kappa(1)} \dots (q^{\lambda_{|V|}})^{\kappa(|V|)}$$

$$\chi_G^1(q, n) = \sum_{\text{proper colorings } \kappa: V \rightarrow [n]} q^{\sum_{v \in V} \kappa(v)}$$

$$\chi_G(n) = \# (\text{proper } n\text{-colorings of } G)$$

## More Why?

**Conjecture** (Stanley 1995)  $X_G(x_1, x_2, \dots)$  distinguishes trees.

**Conjecture** (Loehr–Warrington 2024)  $X_G(q, q^2, \dots, q^n, 0, 0, \dots) = \chi_G^1(q, n)$  distinguishes trees.

**Conjecture** The leading coefficient of  $\tilde{\chi}_G^1(q, n)$  distinguishes trees.

**Remarks**  $\chi_G^1(q, n)$  was previously studied by Loeb (2007).

$\chi_G^\lambda(q, n)$  is a special evaluation (with polynomial structure) of Crew–Spirkl’s (2020) weighted chromatic symmetric function.

José Aliste-Prieto will talk about related (very cool) things in four hours.

## Where does all this come from?

**Lattice polytope**  $\mathcal{P} \subset \mathbb{R}^d$  — convex hull of finitely points in  $\mathbb{Z}^d$

For  $n \in \mathbb{Z}_{>0}$  let  $L_{\mathcal{P}}(n) := \#(n\mathcal{P} \cap \mathbb{Z}^d)$

**Theorem** (Ehrhart 1962, Macdonald 1971)  $L_{\mathcal{P}}(n)$  is a polynomial in  $n$ .  
Furthermore,  $L_{\mathcal{P}}(-n) = (-1)^{\dim \mathcal{P}} \#(n\mathcal{P}^\circ \cap \mathbb{Z}^d)$ .

**Example**  $(\Pi, \preceq)$  — (finite) partially ordered set  $\longrightarrow$

$$\Omega_{\Pi}^{(\circ)}(n) := \# \text{ (strictly) order-preserving maps } \Pi \rightarrow [n]$$

**Observation**  $\chi_G(n) = \sum_{\rho \in A(G)} \Omega_{\Pi_\rho}^\circ(n)$

where  $A(G)$  is the set of acyclic orientations of  $G$  and  $\Pi_\rho$  is the poset corresponding to  $\rho$

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Now fix a linear form  $\lambda$  and let  $L_{\mathcal{P}}^{\lambda}(q, n) := \sum_{\mathbf{m} \in n\mathcal{P}} q^{\lambda(\mathbf{m})}$

**Theorem** (Chapoton 2015) Under some mild assumptions, there exists a polynomial  $\tilde{L}_{\mathcal{P}}^{\lambda}(q, x) \in \mathbb{Z}(q)[x]$  such that  $L_{\mathcal{P}}^{\lambda}(q, n) = \tilde{L}_{\mathcal{P}}^{\lambda}(q, [n]_q)$ .

Furthermore,

$$\tilde{L}_{\mathcal{P}}^{\lambda}\left(\frac{1}{q}, [-n]_{\frac{1}{q}}\right) = (-1)^{\dim \mathcal{P}} \sum_{\mathbf{m} \in n\mathcal{P}^{\circ}} q^{\lambda(\mathbf{m})}$$



# $q$ -Chromatic Structures

$$\chi_G^\lambda(q, n) := \sum_{\substack{\text{proper colorings} \\ \kappa: V \rightarrow [n]}} q^{\sum_{v \in V} \lambda_v \kappa(v)} = \tilde{\chi}_G^\lambda(q, [n]_q)$$

**Deletion–Contraction** (Crew–Spirkl 2020)

$$\chi_G^\lambda(q, n) = \chi_{G \setminus 12}^\lambda(q, n) - \chi_{G/12}^{(\lambda_1 + \lambda_2, \lambda_3, \dots, \lambda_d)}(q, n)$$

→ naturally extends to the coefficients of  $\tilde{\chi}_G^\lambda(q, [n]_q)$

**Reciprocity** 
$$(-1)^{|V|} q^{\sum_{v \in V} \lambda_v} \tilde{\chi}_G^\lambda \left( \frac{1}{q}, [-n]_{\frac{1}{q}} \right) = \sum_{(c, \rho)} q^{\sum_{v \in V(G)} \lambda_v c(v)}$$

where the sum is over all pairs of an  $n$ -coloring  $c$  and a compatible acyclic orientation  $\rho$

# $q$ -Chromatic Polynomial Formulas

$$\chi_G^\lambda(q, n) := \sum_{\substack{\text{proper colorings} \\ \kappa: V \rightarrow [n]}} q^{\sum_{v \in V} \lambda_v \kappa(v)} = \tilde{\chi}_G^\lambda(q, [n]_q)$$

**Theorem**  $\tilde{\chi}_G^\lambda(q, x) = q^{\Lambda_V} \sum_{\text{flats } S \subseteq E} \mu(\emptyset, S) \prod_{C \in P(S)} \frac{1 - (1 + qx - x)^{\Lambda_C}}{1 - q^{\Lambda_C}}$

where  $P(S)$  denotes the collection of vertex sets of the connected components induced by  $S$  and  $\Lambda_W := \sum_{v \in W} \lambda_v$

In particular, for a tree

$$\tilde{\chi}_T^\lambda(q, x) = q^{\Lambda_V} \sum_{S \subseteq E} (-1)^{|S|} \prod_{C \in P(S)} \frac{1 - (1 + qx - x)^{\Lambda_C}}{1 - q^{\Lambda_C}}$$

→ highly-structured formulas for paths, stars, . . .

# The Leading Coefficient for Trees

$$\chi_G^\lambda(q, n) := \sum_{\substack{\text{proper colorings} \\ \kappa: V \rightarrow [n]}} q^{\sum_{v \in V} \lambda_v \kappa(v)} = \tilde{\chi}_G^\lambda(q, [n]_q)$$

**Theorem** Given a tree  $T$ , the leading coefficient of  $\tilde{\chi}_T^\lambda(q, n)$  equals

$$c_T^\lambda(q) = (-1)^{|V|} (q^2 - q)^{\Lambda_V} \sum_{S \subseteq E} \prod_{C \in P(S)} \frac{1}{1 - q^{\Lambda_C}}$$

In particular,

$$[\Lambda_V]_q! c_T^\lambda(q) = q^{\Lambda_V} (-1)^{|V| + \Lambda_V} \sum_{S \subseteq E} (1 - q)^{\Lambda_V - \kappa(S)} \frac{[\Lambda_V]_q!}{\prod_{C \in P(S)} [\Lambda_C]_q}$$

where  $\kappa(S)$  is the number of components of the subgraph induced by  $S$

# The Leading Coefficient for Trees

$$\chi_G^\lambda(q, n) := \sum_{\substack{\text{proper colorings} \\ \kappa: V \rightarrow [n]}} q^{\sum_{v \in V} \lambda_v \kappa(v)} = \tilde{\chi}_G^\lambda(q, [n]_q)$$

**Corollary** Given a tree  $T$ , the leading coefficient of  $\tilde{\chi}_T^1(q, n)$  equals

$$\begin{aligned} c_T^1(q) &= (q - q^2)^d \sum_{S \subseteq E} \prod_{C \in P(S)} \frac{1}{1 - q^{\Lambda_C}} \\ &= \frac{1}{[d]_q!} \sum_{(\rho, \sigma)} q^{d + \text{maj } \sigma} \quad d := |V| \end{aligned}$$

where the sum ranges over all pairs of acyclic orientations  $\rho$  of  $T$  and linear extensions  $\sigma$  of the poset induced by  $\rho$

**Corollary<sup>2</sup>** (via the following slides)  $c_T^1(q) = (-q)^d X_T \left( \frac{1}{q}, \frac{1}{q^2}, \frac{1}{q^3}, \dots \right)$

# $G$ -Partitions

Given a poset  $P = ([d], \preceq)$ , a **strict  $P$ -partition** of  $n \in \mathbb{Z}_{>0}$  is a tuple  $(m_1, \dots, m_d) \in \mathbb{Z}_{>0}^d$  such that

$$\sum_{j=1}^d m_j = n \quad \text{and} \quad m_j < m_k \quad \text{whenever} \quad j \prec k$$

Given a (simple) graph  $G = ([d], E)$ , a  **$G$ -partition** of  $n \in \mathbb{Z}_{>0}$  is a tuple  $(m_1, \dots, m_d) \in \mathbb{Z}_{>0}^d$  such that

$$\sum_{j=1}^d m_j = n \quad \text{and} \quad m_v \neq m_w \quad \text{whenever} \quad vw \in E$$

Let  $p_G(n)$  denote the number of  $G$ -partitions of  $n$ , with accompanying generating function

$$P_G(q) := \sum_{n>0} p_G(n) q^n = X_G(q, q^2, q^3, \dots)$$

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**Theorem**

$$P_G(q) = \frac{q^{\binom{d+1}{2}} \sum_{(\rho, \sigma)} q^{-\text{maj } \sigma}}{(1-q)(1-q^2) \cdots (1-q^d)}$$

where the sum ranges over all pairs of acyclic orientations  $\rho$  of  $G$  and linear extensions  $\sigma$  of the poset induced by  $\rho$

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**Collorary** Given a tree  $T$  on  $d$  vertices, the leading coefficient of  $\tilde{\chi}_T^1(q, n)$  equals

$$c_T^1(q) = (-q)^d P_T\left(\frac{1}{q}\right)$$

**Conjecture** The  $G$ -partition function  $p_G(n)$  distinguishes trees.

# There's more...

- ▶ Computations
- ▶ Formulas for order polytopes
- ▶ Play with different polynomial bases
- ▶ Number-theoretic properties
- ▶ Applications...

[arXiv:2403.19573](https://arxiv.org/abs/2403.19573)

